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Fax 425-882-8514



Fax

To: Joe Waks**From:** John VandenBosche**Fax:** 703-746-4176**Pages:** 10**Phone:****Date:** 3/17/2003**Re:** Correction of amendment**CC:**

☐ Urgent ☐ For Review ☐ Please Comment ☐ Please Reply ☐ Please Recycle

Sir,

The amendment submitted on November 13, 2002 for application serial number 09/975,240 included an error. Specifically, the error was that the serial number was incorrectly listed as 09/975,540. This error apparently resulted in the amendment not being matched with the application's file wrapper.

As you requested, I am sending you a corrected copy of the amendment. The serial number is corrected in the attached amendment.

Please reconsider the application as amended.

Respectfully submitted,

John Vanden Bosche

Registration Number 36,396

PTO/SB/17 (10-02)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT	(\$) 200
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Complete if Known	
Application Number	09/975,540- 01/975,240
Filing Date	10/21/01
First Named Inventor	Calley
Examiner Name	Waks
Art Unit	2834
Attorney Docket No.	

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MAR 17 2003
COMMERCIAL ENTER 2800

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)																																																																																																																																																																														
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None Deposit Account: Deposit Account Number: _____ Deposit Account Name: _____ The Commissioner is authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Credit any overpayments <input type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above identified deposit account.	<h3>3. ADDITIONAL FEES</h3> <table border="1" style="width: 100%;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>1051</td> <td>130</td> <td>2051</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>1052</td> <td>50</td> <td>2052</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>1053</td> <td>130</td> <td>1053</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>1812</td> <td>2,520</td> <td>1812</td> <td>2,520</td> <td>For filing a request for <i>ex parte</i> reexamination</td> <td></td> </tr> <tr> <td>1804</td> <td>920*</td> <td>1804</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>1805</td> <td>1,840*</td> <td>1805</td> <td>1,840*</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>1251</td> <td>110</td> <td>2251</td> <td>55</td> <td>Extension for reply within first month</td> <td rowspan="5" style="text-align: center; vertical-align: middle;">200</td> </tr> <tr> <td>1252</td> <td>400</td> <td>2252</td> <td>200</td> <td>Extension for reply within second month</td> </tr> <tr> <td>1253</td> <td>920</td> <td>2253</td> <td>460</td> <td>Extension for reply within third month</td> </tr> <tr> <td>1254</td> <td>1,440</td> <td>2254</td> <td>720</td> <td>Extension for reply within fourth month</td> </tr> <tr> <td>1255</td> <td>1,960</td> <td>2255</td> <td>980</td> <td>Extension for reply within fifth month</td> </tr> <tr> <td>1401</td> <td>320</td> <td>2401</td> <td>160</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>1402</td> <td>320</td> <td>2402</td> <td>160</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>1403</td> <td>280</td> <td>2403</td> <td>140</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>1451</td> <td>1,510</td> <td>1451</td> <td>1,510</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>1452</td> <td>110</td> <td>2452</td> <td>55</td> <td>Petition to revive - unavoidable</td> <td></td> </tr> <tr> <td>1453</td> <td>1,280</td> <td>2453</td> <td>640</td> <td>Petition to revive - unintentional</td> <td></td> </tr> <tr> <td>1501</td> <td>1,280</td> <td>2501</td> <td>640</td> <td>Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td>1502</td> <td>480</td> <td>2502</td> <td>230</td> <td>Design issue fee</td> <td></td> </tr> <tr> <td>1503</td> <td>620</td> <td>2503</td> <td>310</td> <td>Plant issue fee</td> <td></td> </tr> <tr> <td>1460</td> <td>130</td> <td>1460</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>1807</td> <td>50</td> <td>1807</td> <td>50</td> <td>Processing fee under 37 CFR 1.17(q)</td> <td></td> </tr> <tr> <td>1808</td> <td>180</td> <td>1808</td> <td>180</td> <td>Submission of Information Disclosure Stmt</td> <td></td> </tr> <tr> <td>8021</td> <td>40</td> <td>8021</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td>1809</td> <td>740</td> <td>2809</td> <td>370</td> <td>Filing a submission after final rejection (37 CFR 1.129(e))</td> <td></td> </tr> <tr> <td>1810</td> <td>740</td> <td>2810</td> <td>370</td> <td>For each additional invention to be examined (37 CFR 1.129(b))</td> <td></td> </tr> <tr> <td>1801</td> <td>740</td> <td>2801</td> <td>370</td> <td>Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td>1802</td> <td>900</td> <td>1802</td> <td>900</td> <td>Request for expedited examination of a design application</td> <td></td> </tr> </tbody> </table>	Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1051	130	2051	65	Surcharge - late filing fee or oath		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		1053	130	1053	130	Non-English specification		1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		1251	110	2251	55	Extension for reply within first month	200	1252	400	2252	200	Extension for reply within second month	1253	920	2253	460	Extension for reply within third month	1254	1,440	2254	720	Extension for reply within fourth month	1255	1,960	2255	980	Extension for reply within fifth month	1401	320	2401	160	Notice of Appeal		1402	320	2402	160	Filing a brief in support of an appeal		1403	280	2403	140	Request for oral hearing		1451	1,510	1451	1,510	Petition to institute a public use proceeding		1452	110	2452	55	Petition to revive - unavoidable		1453	1,280	2453	640	Petition to revive - unintentional		1501	1,280	2501	640	Utility issue fee (or reissue)		1502	480	2502	230	Design issue fee		1503	620	2503	310	Plant issue fee		1460	130	1460	130	Petitions to the Commissioner		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		1808	180	1808	180	Submission of Information Disclosure Stmt		8021	40	8021	40	Recording each patent assignment per property (times number of properties)		1809	740	2809	370	Filing a submission after final rejection (37 CFR 1.129(e))		1810	740	2810	370	For each additional invention to be examined (37 CFR 1.129(b))		1801	740	2801	370	Request for Continued Examination (RCE)		1802	900	1802	900	Request for expedited examination of a design application	
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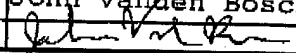
1. BASIC FILING FEE					
Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	740	2001	370	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	510	2003	255	Plant filing fee	
1004	740	2004	370	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$) 0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE						
Total Claims		Extra Claims		Fee from below		Fee Paid
Independent	Multiple Dependent	-20** =	-3** =			

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	84	2201	42	Independent claims in excess of 3	
1203	280	2203	140	Multiple dependent claim, if not paid	
1204	84	2204	42	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$) 0

*or number previously paid, if greater; For Reissues, see above

SUBTOTAL (3) (\$) 200.00

SUBMITTED BY		(Complete if applicable)	
Name (Print/Type)	John Vanden Bosch	Registration No. (Attorney/Agent)	35,396
Signature		Telephone	425-882-4354
		Date	11/13/02

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

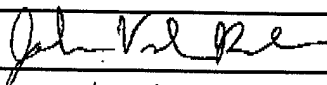
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

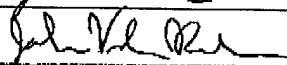
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/975,240 09/975,540 YVB 3/17/03	
	Filing Date	10/21/01	
	First Named Inventor	Calley	
	Group Art Unit	2834	
	Examiner Name	Joseph Waks	
Total Number of Pages in This Submission	8	Attorney Docket Number	

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

FAX RE
MAR 17
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	John M Vanden Bosche
Signature	
Date	11/13/02

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 11/13/02		
Typed or printed name	John Vanden Bosche	
Signature		Date 11/13/02

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TECHNOLOGY CENTER 2800

Applicant : Calley et al
Serial No. : ~~09/975,540~~ 09/975,240 JVB 3/17/03
Filed : 10/21/01
For : Wind Turbine Controller
Examiner : Joseph Waks
Group : 2834

AMENDMENT

FAX RECEIVED

Assistant Commissioner for Patents
Washington, DC 20231

MAR 17 REC'D

TECHNOLOGY CENTER 2800

Sir:

In response to the communication from the Examiner dated June 14, 2002, please amend the above identified application as follows:

In claim 1, line 3, please delete the word "an" and substitute therefore - -a permanent magnet- -.

In claim 6, line 1, after the words "The wind turbine of claim 1" please insert the phrase - -wherein said power circuit has a selectable duty cycle,--.

Please cancel claims 15 - 17.

REMARKS

Reconsideration of the application as amended is respectfully requested.

The Examiner has made a restriction requirement in the above identified application between claims 1-14 and claims 15-17. Applicant hereby elects without traverse the invention of Group I, claims 1-14. Claims 15-17 have been cancelled. Applicant notes that paragraph 4 of the Office Action indicates that claims 1-17 are withdrawn from further consideration by the Examiner. Applicant believes that this was in error and that the Examiner intended to indicate that claims 15-17 are withdrawn from consideration. Applicant understands that claims 1-14 are drawn to the elected invention and are still in consideration.

Claims 6 and 10 stand rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as